**Vertical agreements**

**DO NOT**
- Fix prices other than maximum or recommended prices.
- Restrict import or export or the type of customers.
- In case of exclusive distribution: do not restrict passive sales.
- In case of selective distribution: do not restrict sales inside the system.
- Restrict use of spare parts that are obtained directly from the manufacturer.

**When in a dominant position**

**DO NOT**
- Discriminate between different customers.
- Abruptly refuse to supply.
- Abruptly refuse to provide services.
- Discriminate prices or rebates between similar customers.

**In all cases DO:**
- Avoid infringements at all times.
- Be aware of suspicious circumstances in business relations.
- Use careful language in business communications.
- Check with legal counsel immediately when confronted with any doubt or in case of an investigation.
- Be very careful in circulating documents and never circulate documents under legal privilege.
Introduction
This document summarises the ECMA Antitrust Guidelines. They are designed to ensure ECMA meetings’ compliance with the legal framework as set out in article 101 of the Treaty on the Functioning of the European Union (“TFEU”), which prohibits all agreements between undertakings, decisions by associations of undertakings and concerted practices which may affect trade between member states and which have as their object or effect the prevention, restriction or distortion of competition within the common market. According to the Treaty such anti-competitive agreements are null and void. EU competition law also includes an important provision regarding the abuse of a dominant position (Article 102 TFEU).

The purpose of the present guidelines is to assure that all those concerned in ECMA are sufficiently familiar with the essential characteristics of the legal framework so that a certain “competition law reflex” is created indicating when certain decisions or actions could possibly be problematic and/or when further legal analysis is necessary to evaluate the risks.

In general, it should be mentioned that dealing with competition law in the current state of law is a matter of weighing risks and determining priorities. When operating within a trade association, there is always the risk that a competition authority (whether it is the European Commission or a national authority) will start an investigation into the practices on the market on which the trade association is active. It should therefore be the goal of the (members of) the trade association to keep within the boundaries of competition law. They should not, however, lose sight of the value and advantages of working together within a trade association.

Why be aware of competition law in a trade association?
There are two ways in which the activities of trade associations and meetings between its members can be subject to the application of the cartel prohibition.

In the case of
I: the association itself:
The association itself can be sanctioned for implicit or explicit decisions which have as their goal or effect that competition in the market is restricted. The same applies to agreements between the association and its members.

Both the association and its members (or some of its members) can be subject of a complaint by a third party in court and/or an investigation by the Commission or a national competition authority. Whether or not the members will also individually be accused apart from the association as such, depends on the evidence that can be assembled. If an active role in the organising or implementing of anti-competitive behaviour of particular companies can be demonstrated, they might also risk a sanction.

II: the association as a meeting place:
Members can be sanctioned if they use activities of trade associations such as general assemblies and meetings as a forum for anti-competitive concertation with other members, irrespective of whether such concertation is related to the subjects that are formally on the agenda of the association. As members of the association will usually also be competitors, information concerning the meetings of the association might be considered as an indication or proof of the intention to restrict competition or restrictive practices amongst certain members.

Reminder
ECMA is committed to compliance with the antitrust rules that aim to achieve free competition and fair terms for all business transactions.

The participants in this meeting/event hereby acknowledge that no issue will be discussed that will violate antitrust rules and that during this meeting/event or in the context thereof of these rules shall be respected under all circumstances.

Specifically participants acknowledge that:
they shall not exchange information anti-competitive in nature, including e.g. regarding current or future prices, price-fixing or price-stabilising agreements, discounts, cost studies or other competitive business terms enter into anti-competitive agreements with other participants during meetings/event or in the context thereof (see checklist of do’s and don’ts). The most important do’s and don’ts are summarised below:

Horizontal agreements
DO NOT
• Agree in writing or in any other way on prices or pricing policy.
• Agree to boycott a certain customer or supplier.
• Exchange specific and recent information with competitors on individual purchasing or sales prices, cost price structure, sales quantities or other trading conditions.
• Agree with competitors to divide territories or customers.
• Restrict the liberty of competitors to promote and sell products at independently determined prices and conditions.
• Restrict the possibilities of competitors to use a common quality label.
• Enter into standardisation agreements with competitors that might make entry for new commerce in the market more difficult.